

Form **990**

Department of the Treasury  
Internal Revenue Service

**Return of Organization Exempt From Income Tax**  
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)  
Do not enter social security numbers on this form as it may be made public.  
Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047

**2020**

**Open to Public Inspection**

**A** For the 2020 calendar year, or tax year beginning and ending

<b>B</b> Check if applicable:  <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Final return/terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	<b>C</b> Name of organization BARRY GOLDWATER INSTITUTE FOR PUBLIC POLICY RESEARCH		<b>D</b> Employer identification number 86-0597661
	Doing business as GOLDWATER INSTITUTE		<b>E</b> Telephone number 602-462-5000
	Number and street (or P.O. box if mail is not delivered to street address)	Room/suite	
	500 EAST CORONADO ROAD		<b>G</b> Gross receipts \$ 7,132,971.
	City or town, state or province, country, and ZIP or foreign postal code PHOENIX, AZ 85004		
<b>F</b> Name and address of principal officer: VICTOR RICHES SAME AS C ABOVE		<b>H(a)</b> Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>H(b)</b> Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. See instructions <b>H(c)</b> Group exemption number	

**I** Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) ( ) (insert no.) ☐ 4947(a)(1) or ☐ 527

**J** Website: WWW.GOLDWATERINSTITUTE.ORG

**K** Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other

**L** Year of formation: 1988 **M** State of legal domicile: AZ

**Part I Summary**

<b>Activities &amp; Governance</b>	<b>1</b> Briefly describe the organization's mission or most significant activities: THE GOLDWATER INSTITUTE DRIVES RESULTS BY WORKING DAILY IN COURTS, LEGISLATURES, AND COMMUNITIES TO			
	<b>2</b> Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.			
	<b>3</b> Number of voting members of the governing body (Part VI, line 1a)	<b>3</b>	10	
	<b>4</b> Number of independent voting members of the governing body (Part VI, line 1b)	<b>4</b>	10	
	<b>5</b> Total number of individuals employed in calendar year 2020 (Part V, line 2a)	<b>5</b>	38	
	<b>6</b> Total number of volunteers (estimate if necessary)	<b>6</b>	11	
	<b>Revenue</b>	<b>7a</b> Total unrelated business revenue from Part VIII, column (C), line 12	<b>7a</b>	0.
<b>b</b> Net unrelated business taxable income from Form 990-T, Part I, line 11		<b>7b</b>	0.	
<b>8</b> Contributions and grants (Part VIII, line 1h)		<b>Prior Year</b>	<b>Current Year</b>	
		5,504,863.	5,948,844.	
<b>9</b> Program service revenue (Part VIII, line 2g)		0.	108,214.	
<b>10</b> Investment income (Part VIII, column (A), lines 3, 4, and 7d)		71,546.	66,797.	
<b>11</b> Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)		48,432.	35,361.	
<b>12</b> Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)		5,624,841.	6,159,216.	
<b>Expenses</b>		<b>13</b> Grants and similar amounts paid (Part IX, column (A), lines 1-3)	0.	0.
		<b>14</b> Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
	<b>15</b> Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	2,953,538.	2,982,244.	
	<b>16a</b> Professional fundraising fees (Part IX, column (A), line 11e)	71,075.	65,432.	
	<b>b</b> Total fundraising expenses (Part IX, column (D), line 25)	424,508.		
	<b>17</b> Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	1,717,119.	983,995.	
	<b>18</b> Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	4,741,732.	4,031,671.	
	<b>19</b> Revenue less expenses. Subtract line 18 from line 12	883,109.	2,127,545.	
<b>Net Assets or Fund Balances</b>	<b>20</b> Total assets (Part X, line 16)	<b>Beginning of Current Year</b>	<b>End of Year</b>	
		9,318,184.	10,396,225.	
	<b>21</b> Total liabilities (Part X, line 26)	2,224,208.	1,081,964.	
	<b>22</b> Net assets or fund balances. Subtract line 21 from line 20	7,093,976.	9,314,261.	

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>	Signature of officer	Date			
	VICTOR RICHES, PRESIDENT/CEO	10.16.21			
<b>Paid Preparer Use Only</b>	Print/Type preparer's name AMY A. O'LOUGHLIN	Preparer's signature	Date 10/16/21	Check if self-employed <input type="checkbox"/>	PTIN 00869687
	Firm's name CBIZ MHM, LLC	Firm's EIN 34-1884125	Firm's address 4722 N 24TH ST, STE 300 PHOENIX, AZ 85016	Phone no. 602-264-6835	

May the IRS discuss this return with the preparer shown above? See instructions ☒ Yes ☐ No

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**Part III** Statement of Program Service AccomplishmentsCheck if Schedule O contains a response or note to any line in this Part III ☒

1 Briefly describe the organization's mission:  
THROUGH RESEARCH, INVESTIGATIONS, STRATEGIC LITIGATION, TESTIMONY,  
ADVOCACY, AND EDUCATION, THE GOLDWATER INSTITUTE ADVANCES PUBLIC  
POLICY AND A RULE OF LAW UNDER WHICH INDIVIDUALS CAN SHAPE THEIR OWN  
DESTINIES AS FREE MEN AND WOMEN. WE HELP CITIZENS UNDERSTAND AND...

2 Did the organization undertake any significant program services during the year which were not listed on the  
prior Form 990 or 990-EZ? ☐ Yes ☒ No  
If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No  
If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses.  
Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and  
revenue, if any, for each program service reported.

4a (Code: ) (Expenses \$ 1,295,699. including grants of \$ ) (Revenue \$ 108,214. )  
PUBLIC INTEREST LITIGATION: THE GOLDWATER INSTITUTE LITIGATES  
CONSTITUTIONAL ISSUES OF CONCERN TO THE PUBLIC, PARTICULARLY UNDER THE  
STATE CONSTITUTIONS, ON BEHALF OF AMERICANS WHO WOULD NOT BE IN A  
POSITION TO DEFEND AGAINST THE HEAVY HAND OF GOVERNMENT WITHOUT  
ASSISTANCE OR EXPERTISE. WE DEFEND TAXPAYER AND PROPERTY RIGHTS, FREE  
SPEECH AND FREE ENTERPRISE, AND EDUCATIONAL CHOICE. WE ENFORCE  
CONSTITUTIONAL LIMITS ON GOVERNMENT POWER. IN 2020, WE STARTED A  
SUCCESSFUL PROJECT TO DEFEND CITIZENS AGAINST CIVIL ASSET FORFEITURE  
ABUSE, A PRACTICE BY WHICH GOVERNMENT SEIZES PRIVATE PROPERTY WITHOUT  
HAVING TO CONVICT OR EVEN ACCUSE ITS OWNER OF A CRIME. OUR CASES SEEK  
TO SET BROAD LEGAL PRECEDENTS TO PROTECT THE FREEDOMS OF OUR CLIENTS  
AND ALL AMERICANS. THE GOLDWATER INSTITUTE ALSO MANAGES A SUCCESSFUL

4b (Code: ) (Expenses \$ 1,262,394. including grants of \$ ) (Revenue \$ )  
POLICY ANALYSIS, RESEARCH AND EDUCATION, EXTERNAL AFFAIRS: THE  
GOLDWATER INSTITUTE CONDUCTS SCHOLARLY RESEARCH AND IN-DEPTH ANALYSIS  
OF STATE-LEVEL PUBLIC POLICY ISSUES AND COMMUNICATES THAT RESEARCH TO  
THE PUBLIC. OUR PRIMARY AREAS OF RESEARCH INCLUDE CONSTITUTIONAL LAW,  
EDUCATION REFORM, AND HEALTHCARE POLICY. IN 2020, WE DELIVERED 70  
PUBLIC SPEECHES, DISTRIBUTED 23 POLICY REPORTS, AND TESTIFIED REGULARLY  
BEFORE LEGISLATIVE COMMITTEES. IN 2020, WE HAD 24 POLICY AND LITIGATION  
VICTORIES IN COURTS AND LEGISLATURES NATIONWIDE. EIGHT STATES ADOPTED  
GOLDWATER'S UNIVERSAL RECOGNITION LAW, ALLOWING WORKERS LICENSED IN ONE  
STATE TO CONTINUE WORKING WHEN THEY MOVE TO A NEW STATE WITHOUT HAVING  
TO SPEND TIME AND MONEY GETTING ANOTHER (TO DATE, NEARLY TWO-DOZEN  
STATES HAVE ENACTED VERSIONS OF THIS GROUNDBREAKING LAW). OTHER

4c (Code: ) (Expenses \$ 643,497. including grants of \$ ) (Revenue \$ )  
COMMUNICATIONS: IN 2020, THE GOLDWATER INSTITUTE'S WORK IN DEFENSE OF  
OUR RIGHTS AND INDIVIDUAL LIBERTIES CONTINUED TO SPREAD ACROSS THE  
COUNTRY, THANKS TO OUR STRONG PRESENCE IN AN INCREASINGLY CROWDED MEDIA  
LANDSCAPE. OUR EFFORTS RECEIVED MORE THAN 6,200 MENTIONS IN TRADITIONAL  
(PRINT, RADIO, TELEVISION, AND ONLINE) AND SOCIAL MEDIA OUTLETS.  
GOLDWATER INSTITUTE EXPERTS AND CLIENTS PUBLISHED MORE THAN 55 OP-EDS  
AND LETTERS TO THE EDITOR DURING THE YEAR, IN OUTLETS THAT INCLUDED THE  
ARIZONA REPUBLIC, ARIZONA CAPITOL TIMES, WASHINGTON EXAMINER, CHICAGO  
TRIBUNE, CHICAGO SUN-TIMES, ORANGE COUNTY REGISTER, AUSTIN  
AMERICAN-STATESMAN, DETROIT NEWS, MISSOURI TIMES, KJZZ, NATIONAL REVIEW  
ONLINE, REASON.COM, THE DISPATCH, REAL CLEAR POLICY, INSIDESOURCES.COM,  
THE CENTER SQUARE, DAILY CALLER, THE DAILY SIGNAL, AND THE ARIZONA

4d Other program services (Describe on Schedule O.)  
(Expenses \$ including grants of \$ ) (Revenue \$ )

4e Total program service expenses 3,201,590.

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032002 12-23-20

SEE SCHEDULE O FOR CONTINUATION(S)

2

10131016 143399 182008

2020.04030 BARRY GOLDWATER INSTITUTE 182008\_

**Part IV Checklist of Required Schedules**

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4 <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>	X	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		X
10 Did the organization, directly or through a related organization, hold assets in donor-restricted endowments or in quasi endowments? <i>If "Yes," complete Schedule D, Part V</i>	X	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	X	
b Did the organization report an amount for investments - other securities in Part X, line 12, that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>		X
c Did the organization report an amount for investments - program related in Part X, line 13, that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		X
d Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>		X
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>	X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>		X
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>	X	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i>		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i>		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>	X	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	X	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>		X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>		X

**Part IV Checklist of Required Schedules** (continued)

	Yes	No
<b>22</b> Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III .....		X
<b>23</b> Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J .....	X	
<b>24a</b> Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a .....		X
<b>24b</b> Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? .....		
<b>24c</b> Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? .....		
<b>24d</b> Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? .....		
<b>25a</b> <b>Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I .....		X
<b>25b</b> Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I .....		X
<b>26</b> Did the organization report any amount on Part X, line 5 or 22, for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part II .....		X
<b>27</b> Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? If "Yes," complete Schedule L, Part III .....		X
<b>28</b> Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions, for applicable filing thresholds, conditions, and exceptions):		
<b>a</b> A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? If "Yes," complete Schedule L, Part IV .....		X
<b>b</b> A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV .....		X
<b>c</b> A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? If "Yes," complete Schedule L, Part IV .....		X
<b>29</b> Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M .....	X	
<b>30</b> Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M .....		X
<b>31</b> Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I .....		X
<b>32</b> Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II .....		X
<b>33</b> Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I .....	X	
<b>34</b> Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1 .....		X
<b>35a</b> Did the organization have a controlled entity within the meaning of section 512(b)(13)? .....		X
<b>b</b> If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2 .....		
<b>36</b> <b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2 .....		X
<b>37</b> Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI .....		X
<b>38</b> Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? .....	X	

Note: All Form 990 filers are required to complete Schedule O

**Part V Statements Regarding Other IRS Filings and Tax Compliance**Check if Schedule O contains a response or note to any line in this Part V ☐

	Yes	No
<b>1a</b> Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable .....		
<b>b</b> Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable .....		
<b>c</b> Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? .....	X	

**Part V** Statements Regarding Other IRS Filings and Tax Compliance (continued)

		Yes	No
<b>2a</b> Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	<b>2a</b> 38		
<b>b</b> If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <b>Note:</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	<b>2b</b>	X	
<b>3a</b> Did the organization have unrelated business gross income of \$1,000 or more during the year?	<b>3a</b>		X
<b>b</b> If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation on Schedule O	<b>3b</b>		
<b>4a</b> At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	<b>4a</b>		X
<b>b</b> If "Yes," enter the name of the foreign country See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).			
<b>5a</b> Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	<b>5a</b>		X
<b>b</b> Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	<b>5b</b>		X
<b>c</b> If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	<b>5c</b>		
<b>6a</b> Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	<b>6a</b>		X
<b>b</b> If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	<b>6b</b>		
<b>7 Organizations that may receive deductible contributions under section 170(c).</b>			
<b>a</b> Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	<b>7a</b>	X	
<b>b</b> If "Yes," did the organization notify the donor of the value of the goods or services provided?	<b>7b</b>	X	
<b>c</b> Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	<b>7c</b>	X	
<b>d</b> If "Yes," indicate the number of Forms 8282 filed during the year	<b>7d</b> 1		
<b>e</b> Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<b>7e</b>		X
<b>f</b> Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<b>7f</b>		X
<b>g</b> If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	<b>7g</b>		
<b>h</b> If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	<b>7h</b>		
<b>8 Sponsoring organizations maintaining donor advised funds.</b> Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?	<b>8</b>		
<b>9 Sponsoring organizations maintaining donor advised funds.</b>			
<b>a</b> Did the sponsoring organization make any taxable distributions under section 4966?	<b>9a</b>		
<b>b</b> Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	<b>9b</b>		
<b>10 Section 501(c)(7) organizations.</b> Enter:			
<b>a</b> Initiation fees and capital contributions included on Part VIII, line 12	<b>10a</b>		
<b>b</b> Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	<b>10b</b>		
<b>11 Section 501(c)(12) organizations.</b> Enter:			
<b>a</b> Gross income from members or shareholders	<b>11a</b>		
<b>b</b> Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	<b>11b</b>		
<b>12a Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?	<b>12a</b>		
<b>b</b> If "Yes," enter the amount of tax-exempt interest received or accrued during the year	<b>12b</b>		
<b>13 Section 501(c)(29) qualified nonprofit health insurance issuers.</b>			
<b>a</b> Is the organization licensed to issue qualified health plans in more than one state? <b>Note:</b> See the instructions for additional information the organization must report on Schedule O.	<b>13a</b>		
<b>b</b> Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	<b>13b</b>		
<b>c</b> Enter the amount of reserves on hand	<b>13c</b>		
<b>14a</b> Did the organization receive any payments for indoor tanning services during the tax year?	<b>14a</b>		X
<b>b</b> If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation on Schedule O	<b>14b</b>		
<b>15</b> Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? If "Yes," see instructions and file Form 4720, Schedule N.	<b>15</b>		X
<b>16</b> Is the organization an educational institution subject to the section 4968 excise tax on net investment income? If "Yes," complete Form 4720, Schedule O.	<b>16</b>		X

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**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

☒ X**Section A. Governing Body and Management**

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year		
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain on Schedule O.		
b	Enter the number of voting members included on line 1a, above, who are independent		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	X	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, trustees, or key employees to a management company or other person?		X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		X
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		X
6	Did the organization have members or stockholders?	X	
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	X	
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a	The governing body?	X	
b	Each committee with authority to act on behalf of the governing body?	X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses on Schedule O		X

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a	X	
b		
10b	X	
11a	X	
b		
12a	X	
b	X	
c		
12c	X	
13	X	
14	X	
15		
a	X	
b	X	
16a		X
b		
16b		

**Section C. Disclosure**

17 List the states with which a copy of this Form 990 is required to be filed **AL, AK, AR, CA, CO, CT, FL, GA, HI, IL, KS, KY**

18 Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.  
☒ Own website ☐ Another's website ☒ Upon request ☐ Other (explain on Schedule O)

19 Describe on Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization's books and records **ROGER ZETAH - 602-462-5000**  
**500 E CORONADO ROAD, PHOENIX, AZ 85004-1543**

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**Check if Schedule O contains a response or note to any line in this Part VII ☐**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations. See instructions for the order in which to list the persons above.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) VICTOR RICHES PRESIDENT/CEO	40.00			X				229,681.	0.	6,523.
(2) TIMOTHY SANDEFUR VP OF LITIGATION	40.00				X			197,631.	0.	10,523.
(3) CHRISTINA SANDEFUR EXECUTIVE VP	40.00					X		137,632.	0.	9,515.
(4) JONATHAN RICHES DIR NAT'L LIT/GEN COUNSEL	40.00					X		123,871.	0.	11,972.
(5) MICHAEL BROWNFIELD DIR OF COMMUNICATIONS	40.00					X		125,632.	0.	8,953.
(6) WILLIAM TEMPLAR VP FOUNDATIONS	40.00					X		118,457.	0.	8,779.
(7) NAOMI LOPEZ DIR OF HEALTHCARE POLICY	40.00					X		116,563.	0.	8,956.
(8) ROGER ZETAH CFO/SECRETARY	40.00			X				98,419.	0.	11,769.
(9) ERIC CROWN CHAIRMAN	5.00	X		X				0.	0.	0.
(10) BARRY GOLDWATER, JR DIRECTOR	4.00	X						0.	0.	0.
(11) RANDY P. KENDRICK DIRECTOR	0.50	X						0.	0.	0.
(12) JOHN COTTON DIRECTOR	3.00	X						0.	0.	0.
(13) MARIAN COOK DIRECTOR	0.50	X						0.	0.	0.
(14) CHRISTOPHER GLEASON DIRECTOR	0.50	X						0.	0.	0.
(15) JIM CHAMBERLAIN VICE CHAIRMAN	3.00	X		X				0.	0.	0.
(16) DANIEL BROPHY TREASURER	3.00	X		X				0.	0.	0.
(17) GROVER NORQUIST DIRECTOR	0.50	X						0.	0.	0.



<b>Part VII</b>	<b>Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees</b> <i>(continued)</i>
-----------------	--

(A) Name and title	(B) Average hours per week  (list any hours for related organizations below line)	(C) Position  (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(18) LEO BEUS	0.50									
DIRECTOR		X						0.	0.	0.
(19) CHARLES LAWRENCE	0.50									
DIRECTOR		X						0.	0.	0.
<b>1b Subtotal</b> .....								1,147,886.	0.	76,990.
<b>c Total from continuation sheets to Part VII, Section A</b> .....								0.	0.	0.
<b>d Total (add lines 1b and 1c)</b> .....								1,147,886.	0.	76,990.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ▶

11

		Yes	No
3	Did the organization list any <b>former</b> officer, director, trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> .....	3	X
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> .....	4	X
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> .....	5	X

## Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	NONE	(B) Description of services	(C) Compensation
<b>2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ►</b>			<b>0</b>

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**Part VIII** Statement of RevenueCheck if Schedule O contains a response or note to any line in this Part VIII ☐

		(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514
Contributions, Gifts, Grants and Other Similar Amounts	1 a Federated campaigns	1a			
	b Membership dues	1b			
	c Fundraising events	1c	90,330.		
	d Related organizations	1d			
	e Government grants (contributions)	1e			
	f All other contributions, gifts, grants, and similar amounts not included above	1f	5,858,514.		
	g Noncash contributions included in lines 1a-1f	1g \$	206,993.		
	h Total. Add lines 1a-1f		5,948,844.		
Program Service Revenue	2 a LITIGATION COST REIMB	Business Code	900099	108,214.	108,214.
	b				
	c				
	d				
	e				
	f All other program service revenue				
	g Total. Add lines 2a-2f		108,214.		
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		55,008.		55,008.
	4 Income from investment of tax-exempt bond proceeds				
	5 Royalties				
	6 a Gross rents	6a (i) Real (ii) Personal			
	b Less: rental expenses	6b			
	c Rental income or (loss)	6c			
	d Net rental income or (loss)				
	7 a Gross amount from sales of assets other than inventory	7a (i) Securities (ii) Other	981,809.		
	b Less: cost or other basis and sales expenses	7b	970,020.		
	c Gain or (loss)	7c	11,789.		
	d Net gain or (loss)		11,789.		11,789.
	8 a Gross income from fundraising events (not including \$ 90,330. of contributions reported on line 1c). See Part IV, line 18	8a	3,735.		
	b Less: direct expenses	8b	3,735.		
	c Net income or (loss) from fundraising events		0.		
	9 a Gross income from gaming activities. See Part IV, line 19	9a			
	b Less: direct expenses	9b			
	c Net income or (loss) from gaming activities				
10 a Gross sales of inventory, less returns and allowances	10a				
b Less: cost of goods sold	10b				
c Net income or (loss) from sales of inventory					
Miscellaneous Revenue	11 a NON REFUNDABLE DEPOSIT	Business Code	900099	35,000.	35,000.
	b OTHER INCOME		900099	361.	361.
	c				
	d All other revenue				
	e Total. Add lines 11a-11d		35,361.		
12 Total revenue. See instructions		6,159,216.	108,214.	0.	102,158.

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**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐

	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
<b>Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.</b>				
<b>1</b> Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21				
<b>2</b> Grants and other assistance to domestic individuals. See Part IV, line 22				
<b>3</b> Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16				
<b>4</b> Benefits paid to or for members				
<b>5</b> Compensation of current officers, directors, trustees, and key employees	554,546.	488,972.	26,781.	38,793.
<b>6</b> Compensation not included above to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
<b>7</b> Other salaries and wages	2,055,440.	1,812,488.	98,455.	144,497.
<b>8</b> Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	33,719.	29,701.	1,871.	2,147.
<b>9</b> Other employee benefits	155,751.	137,193.	8,642.	9,916.
<b>10</b> Payroll taxes	182,788.	161,181.	8,757.	12,850.
<b>11</b> Fees for services (nonemployees):				
<b>a</b> Management				
<b>b</b> Legal	15,331.		15,331.	
<b>c</b> Accounting	27,700.		27,700.	
<b>d</b> Lobbying	20,055.	20,055.		
<b>e</b> Professional fundraising services. See Part IV, line 17	65,432.			65,432.
<b>f</b> Investment management fees	10,530.		10,530.	
<b>g</b> Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	272,719.	96,498.	105,529.	70,692.
<b>12</b> Advertising and promotion	25,134.	21,030.		4,104.
<b>13</b> Office expenses	165,411.	152,552.	2,451.	10,408.
<b>14</b> Information technology	14,051.	12,811.	486.	754.
<b>15</b> Royalties				
<b>16</b> Occupancy	84,689.	75,077.	3,769.	5,843.
<b>17</b> Travel	30,713.	17,830.	296.	12,587.
<b>18</b> Payments of travel or entertainment expenses for any federal, state, or local public officials				
<b>19</b> Conferences, conventions, and meetings	3,849.	3,390.	434.	25.
<b>20</b> Interest	23,375.		23,375.	
<b>21</b> Payments to affiliates				
<b>22</b> Depreciation, depletion, and amortization	112,646.	99,861.	5,012.	7,773.
<b>23</b> Insurance	37,208.	7,376.	29,832.	
<b>24</b> Other expenses. Itemize expenses not covered above (List miscellaneous expenses on line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
<b>a</b> DUES AND SUBSCRIPTIONS	69,830.	39,513.	12,140.	18,177.
<b>b</b> EVENTS	21,031.	16,292.	526.	4,213.
<b>c</b> BANK & CREDIT CARD FEES	17,612.	70.	11,825.	5,717.
<b>d</b> PAYROLL & PLAN FEES	11,143.		11,143.	
<b>e</b> All other expenses	20,968.	9,700.	688.	10,580.
<b>25</b> Total functional expenses. Add lines 1 through 24e	4,031,671.	3,201,590.	405,573.	424,508.
<b>26</b> Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here ☐ if following SOP 98-2 (ASC 958-720)

**Part X Balance Sheet**Check if Schedule O contains a response or note to any line in this Part X ☐

		(A) Beginning of year		(B) End of year		
Assets	1	Cash - non-interest-bearing .....	2,342,652.	1	1,293,379.	
	2	Savings and temporary cash investments .....	877,304.	2	736,662.	
	3	Pledges and grants receivable, net .....	472,230.	3	977,901.	
	4	Accounts receivable, net .....	25,000.	4	0.	
	5	Loans and other receivables from any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons .....		5		
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B) .....		6		
	7	Notes and loans receivable, net .....		7		
	8	Inventories for sale or use .....		8		
	9	Prepaid expenses and deferred charges .....	50,622.	9	41,977.	
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D .....	10a	4,111,367.		
	b	Less: accumulated depreciation .....	10b	2,513,822.		
	11	Investments - publicly traded securities .....	1,710,191.	10c	1,597,545.	
	12	Investments - other securities. See Part IV, line 11 .....	3,671,055.	11	5,619,170.	
	13	Investments - program-related. See Part IV, line 11 .....	169,130.	12	129,591.	
	14	Intangible assets .....		13		
	15	Other assets. See Part IV, line 11 .....		14		
16	<b>Total assets.</b> Add lines 1 through 15 (must equal line 33) .....		15			
		9,318,184.	16	10,396,225.		
Liabilities	17	Accounts payable and accrued expenses .....	464,217.	17	471,731.	
	18	Grants payable .....		18		
	19	Deferred revenue .....		19		
	20	Tax-exempt bond liabilities .....		20		
	21	Escrow or custodial account liability. Complete Part IV of Schedule D .....		21		
	22	Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons .....		22		
	23	Secured mortgages and notes payable to unrelated third parties .....	590,145.	23	570,507.	
	24	Unsecured notes and loans payable to unrelated third parties .....		24		
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D .....		25		
	26	<b>Total liabilities.</b> Add lines 17 through 25 .....	1,169,846.	26	39,726.	
		2,224,208.	27	1,081,964.		
Net Assets or Fund Balances	<b>Organizations that follow FASB ASC 958, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27, 28, 32, and 33.</b>					
	27	Net assets without donor restrictions .....	4,680,506.	27	6,631,297.	
	28	Net assets with donor restrictions .....	2,413,470.	28	2,682,964.	
	<b>Organizations that do not follow FASB ASC 958, check here</b> <input type="checkbox"/> <b>and complete lines 29 through 33.</b>					
	29	Capital stock or trust principal, or current funds .....		29		
	30	Paid-in or capital surplus, or land, building, or equipment fund .....		30		
	31	Retained earnings, endowment, accumulated income, or other funds .....		31		
	32	<b>Total net assets or fund balances</b> .....	7,093,976.	32	9,314,261.	
33	<b>Total liabilities and net assets/fund balances</b> .....	9,318,184.	33	10,396,225.		

Form 990 (2020)

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**Part XI Reconciliation of Net Assets**Check if Schedule O contains a response or note to any line in this Part XI ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	6,159,216.
2	Total expenses (must equal Part IX, column (A), line 25)	2	4,031,671.
3	Revenue less expenses. Subtract line 2 from line 1	3	2,127,545.
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4	7,093,976.
5	Net unrealized gains (losses) on investments	5	92,740.
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain on Schedule O)	9	0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (B))	10	9,314,261.

**Part XII Financial Statements and Reporting**Check if Schedule O contains a response or note to any line in this Part XII ☐

1 Accounting method used to prepare the Form 990: ☒ Cash ☐ Accrual ☐ Other \_\_\_\_\_  
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.

2a Were the organization's financial statements compiled or reviewed by an independent accountant? ☐ Yes ☒ No  
If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:

☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis

b Were the organization's financial statements audited by an independent accountant? ☐ Yes ☒ No  
If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:

☐ Separate basis ☒ Consolidated basis ☐ Both consolidated and separate basis

c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? ☐ Yes ☒ No  
If the organization changed either its oversight process or selection process during the tax year, explain on Schedule O.

3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? ☐ Yes ☒ No

b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why on Schedule O and describe any steps taken to undergo such audits

	Yes	No
2a		X
2b	X	
2c	X	
3a		X
3b		

Form 990 (2020)

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.  
▶ Attach to Form 990 or Form 990-EZ.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047

**2020**

Open to Public  
Inspection

Name of the organization **BARRY GOLDWATER INSTITUTE FOR  
PUBLIC POLICY RESEARCH**

Employer identification number  
**86-0597661**

**Part I Reason for Public Charity Status.** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ).)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: \_\_\_\_\_
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9 ☐ An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university: \_\_\_\_\_
- 10 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions, subject to certain exceptions; and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 11 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
- a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
- b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
- c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
- d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
- e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.

f Enter the number of supported organizations \_\_\_\_\_

g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
<b>Total</b>						

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. 032021 01-25-21 Schedule A (Form 990 or 990-EZ) 2020

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .....	6,089,299.	6,283,551.	5,131,175.	5,504,863.	5,948,844.	28,957,732.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....						
3 The value of services or facilities furnished by a governmental unit to the organization without charge .....						
4 <b>Total.</b> Add lines 1 through 3 .....	6,089,299.	6,283,551.	5,131,175.	5,504,863.	5,948,844.	28,957,732.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) .....						2,017,141.
6 <b>Public support.</b> Subtract line 5 from line 4. ....						26,940,591.

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
7 Amounts from line 4 .....	6,089,299.	6,283,551.	5,131,175.	5,504,863.	5,948,844.	28,957,732.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources .....	40,494.	103,111.	107,127.	99,229.	55,008.	404,969.
9 Net income from unrelated business activities, whether or not the business is regularly carried on .....						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) .....	10,746.		134.	694.	35,361.	46,935.
11 <b>Total support.</b> Add lines 7 through 10 .....						29,409,636.
12 Gross receipts from related activities, etc. (see instructions) .....					12	887,190.
13 <b>First 5 years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> .....						<input type="checkbox"/>

**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2020 (line 6, column (f), divided by line 11, column (f)) .....	14	91.60	%
15 Public support percentage from 2019 Schedule A, Part II, line 14 .....	15	90.48	%
16a <b>33 1/3% support test - 2020.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization .....			<input checked="" type="checkbox"/>
b <b>33 1/3% support test - 2019.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization .....			<input type="checkbox"/>
17a <b>10% -facts-and-circumstances test - 2020.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the facts-and-circumstances test. The organization qualifies as a publicly supported organization .....			<input type="checkbox"/>
b <b>10% -facts-and-circumstances test - 2019.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the facts-and-circumstances test. The organization qualifies as a publicly supported organization .....			<input type="checkbox"/>
18 <b>Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions .....			<input type="checkbox"/>

Schedule A (Form 990 or 990-EZ) 2020

**Part III** Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 <b>Total.</b> Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 <b>Public support.</b> (Subtract line 7c from line 6.)						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 <b>Total support.</b> (Add lines 9, 10c, 11, and 12.)						

14 **First 5 years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ☐

**Section C. Computation of Public Support Percentage**

15 Public support percentage for 2020 (line 8, column (f), divided by line 13, column (f))	15	%
16 Public support percentage from 2019 Schedule A, Part III, line 15	16	%

**Section D. Computation of Investment Income Percentage**

17 Investment income percentage for 2020 (line 10c, column (f), divided by line 13, column (f))	17	%
18 Investment income percentage from 2019 Schedule A, Part III, line 17	18	%

19a **33 1/3% support tests - 2020.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ☐

b **33 1/3% support tests - 2019.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐



**Part IV Supporting Organizations**

(Complete only if you checked a box in line 12 on Part I. If you checked box 12a, Part I, complete Sections A and B. If you checked box 12b, Part I, complete Sections A and C. If you checked box 12c, Part I, complete Sections A, D, and E. If you checked box 12d, Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

- 1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in **Part VI** how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.
- 2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in **Part VI** how the organization determined that the supported organization was described in section 509(a)(1) or (2).
- 3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer lines 3b and 3c below.
- b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in **Part VI** when and how the organization made the determination.
- c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in **Part VI** what controls the organization put in place to ensure such use.
- 4a Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes," and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.
- b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in **Part VI** how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.
- c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in **Part VI** what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.
- 5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in **Part VI**, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).
- b **Type I or Type II only.** Was any added or substituted supported organization part of a class already designated in the organization's organizing document?
- c **Substitutions only.** Was the substitution the result of an event beyond the organization's control?
- 6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in **Part VI**.
- 7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).
- 8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).
- 9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in **Part VI**.
- b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in **Part VI**.
- c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in **Part VI**.
- 10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer line 10b below.
- b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)

	Yes	No
1		
2		
3a		
3b		
3c		
4a		
4b		
4c		
5a		
5b		
5c		
6		
7		
8		
9a		
9b		
9c		
10a		
10b		

**Part IV Supporting Organizations** (continued)

	Yes	No
<b>11</b> Has the organization accepted a gift or contribution from any of the following persons?		
<b>a</b> A person who directly or indirectly controls, either alone or together with persons described in lines 11b and 11c below, the governing body of a supported organization?		
<b>b</b> A family member of a person described in line 11a above?		
<b>c</b> A 35% controlled entity of a person described in line 11a or 11b above? If "Yes" to line 11a, 11b, or 11c, provide detail in Part VI.		
<b>11a</b>		
<b>11b</b>		
<b>11c</b>		

**Section B. Type I Supporting Organizations**

	Yes	No
<b>1</b> Did the governing body, members of the governing body, officers acting in their official capacity, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's officers, directors, or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove officers, directors, or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.		
<b>2</b> Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.		
<b>1</b>		
<b>2</b>		

**Section C. Type II Supporting Organizations**

	Yes	No
<b>1</b> Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).		
<b>1</b>		

**Section D. All Type III Supporting Organizations**

	Yes	No
<b>1</b> Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
<b>2</b> Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).		
<b>3</b> By reason of the relationship described in line 2, above, did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.		
<b>1</b>		
<b>2</b>		
<b>3</b>		

**Section E. Type III Functionally Integrated Supporting Organizations**

	Yes	No
<b>1</b> Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).		
<b>a</b> <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
<b>b</b> <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
<b>c</b> <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a governmental entity (see instructions).		
<b>2</b> Activities Test. Answer lines 2a and 2b below.		
<b>a</b> Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.		
<b>b</b> Did the activities described in line 2a, above, constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.		
<b>3</b> Parent of Supported Organizations. Answer lines 3a and 3b below.		
<b>a</b> Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? If "Yes" or "No" provide details in Part VI.		
<b>b</b> Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.		
<b>2a</b>		
<b>2b</b>		
<b>3a</b>		
<b>3b</b>		

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

- 1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 ( *explain in Part VI*). See instructions.  
All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3.	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	<b>Adjusted Net Income</b> (subtract lines 5, 6, and 7 from line 4)	8	

  

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	<b>Total</b> (add lines 1a, 1b, and 1c)	1d	
e	<b>Discount</b> claimed for blockage or other factors (explain in detail in Part VI):		
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d.	3	
4	Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount, see instructions).	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by 0.035.	6	
7	Recoveries of prior-year distributions	7	
8	<b>Minimum Asset Amount</b> (add line 7 to line 6)	8	

  

Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, column A)	1	
2	Enter 0.85 of line 1.	2	
3	Minimum asset amount for prior year (from Section B, line 8, column A)	3	
4	Enter greater of line 2 or line 3.	4	
5	Income tax imposed in prior year	5	
6	<b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions).	6	

7 ☐ Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).

Schedule A (Form 990 or 990-EZ) 2020

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations** (continued)**Section D - Distributions**

		Current Year
1	Amounts paid to supported organizations to accomplish exempt purposes	1
2	Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	2
3	Administrative expenses paid to accomplish exempt purposes of supported organizations	3
4	Amounts paid to acquire exempt-use assets	4
5	Qualified set-aside amounts (prior IRS approval required - provide details in Part VI)	5
6	Other distributions (describe in Part VI). See instructions.	6
7	<b>Total annual distributions.</b> Add lines 1 through 6.	7
8	Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions.	8
9	Distributable amount for 2020 from Section C, line 6	9
10	Line 8 amount divided by line 9 amount	10

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2020	(iii) Distributable Amount for 2020
1	Distributable amount for 2020 from Section C, line 6		
2	Underdistributions, if any, for years prior to 2020 (reasonable cause required - explain in Part VI). See instructions.		
3	Excess distributions carryover, if any, to 2020		
a	From 2015		
b	From 2016		
c	From 2017		
d	From 2018		
e	From 2019		
f	<b>Total of lines 3a through 3e</b>		
g	Applied to underdistributions of prior years		
h	Applied to 2020 distributable amount		
i	Carryover from 2015 not applied (see instructions)		
j	Remainder. Subtract lines 3g, 3h, and 3i from line 3f.		
4	Distributions for 2020 from Section D, line 7: \$		
a	Applied to underdistributions of prior years		
b	Applied to 2020 distributable amount		
c	Remainder. Subtract lines 4a and 4b from line 4.		
5	Remaining underdistributions for years prior to 2020, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions.		
6	Remaining underdistributions for 2020. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions.		
7	<b>Excess distributions carryover to 2021.</b> Add lines 3j and 4c.		
8	Breakdown of line 7:		
a	Excess from 2016		
b	Excess from 2017		
c	Excess from 2018		
d	Excess from 2019		
e	Excess from 2020		

Schedule A (Form 990 or 990-EZ) 2020

**Part VI** **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information.  
(See instructions.)

## SCHEDULE A, PART II, LINE 10, EXPLANATION FOR OTHER INCOME:

## OTHER INCOME

2016 AMOUNT: \$ 10,746.

2018 AMOUNT: \$ 134.

2019 AMOUNT: \$ 694.

2020 AMOUNT: \$ 361.

## NON REFUNDABLE DEPOSIT

2020 AMOUNT: \$ 35,000.

## Schedule B

(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

## Schedule of Contributors

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No. 1545-0047

# 2020

Name of the organization

BARRY GOLDWATER INSTITUTE FOR  
PUBLIC POLICY RESEARCH

Employer identification number

86-0597661

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☒ 501(c)( 3 ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☐ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

### General Rule

- ☐ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

### Special Rules

- ☒ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ..... ▶ \$ .....

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2020)

Name of organization

BARRY GOLDWATER INSTITUTE FOR  
PUBLIC POLICY RESEARCH

Employer identification number

86-0597661

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>		\$ 669,050.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
<u>2</u>		\$ 250,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
<u>3</u>		\$ 388,971.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
<u>4</u>		\$ 125,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
<u>5</u>		\$ 150,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
<u>6</u>		\$ 400,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)



Name of organization <b>BARRY GOLDWATER INSTITUTE FOR PUBLIC POLICY RESEARCH</b>	Employer identification number <b>86-0597661</b>
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**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>7</u>		\$ <u>632,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
<u>8</u>		\$ <u>300,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
<u>9</u>		\$ <u>273,318.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
<u>10</u>		\$ <u>124,272.</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Employer identification number

86-0597661

86-0597661

**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

[illegible]

Name of organization BARRY GOLDWATER INSTITUTE FOR PUBLIC POLICY RESEARCH	Employer identification number 86-0597661
---	--

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once.) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

**SCHEDULE C**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Political Campaign and Lobbying Activities**

For Organizations Exempt From Income Tax Under section 501(c) and section 527  
▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**  
▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.**

OMB No. 1545-0047

**2020**

**Open to Public  
Inspection**

**If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then**

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

**If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then**

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

**If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (See separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (See separate instructions), then**

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization **BARRY GOLDWATER INSTITUTE FOR  
PUBLIC POLICY RESEARCH**

**Employer identification number**  
86-0597661

**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.

2 Political campaign activity expenditures ..... ▶ \$ \_\_\_\_\_

3 Volunteer hours for political campaign activities ..... \_\_\_\_\_

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

1 Enter the amount of any excise tax incurred by the organization under section 4955 ..... ▶ \$ \_\_\_\_\_

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ..... ▶ \$ \_\_\_\_\_

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ..... ☐ Yes ☐ No

4a Was a correction made? ..... ☐ Yes ☐ No

b If "Yes," describe in Part IV.

**Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).**

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ..... ▶ \$ \_\_\_\_\_

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527  
exempt function activities ..... ▶ \$ \_\_\_\_\_

3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL,  
line 17b ..... ▶ \$ \_\_\_\_\_

4 Did the filing organization file Form 1120-POL for this year? ..... ☐ Yes ☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2020

LHA

032041 12-02-20

**Part II-A** Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

**A** Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

**B** Check ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
<b>1 a</b> Total lobbying expenditures to influence public opinion (grassroots lobbying)		467.													
<b>b</b> Total lobbying expenditures to influence a legislative body (direct lobbying)		19,588.													
<b>c</b> Total lobbying expenditures (add lines 1a and 1b)		20,055.													
<b>d</b> Other exempt purpose expenditures		4,011,616.													
<b>e</b> Total exempt purpose expenditures (add lines 1c and 1d)		4,031,671.													
<b>f</b> Lobbying nontaxable amount. Enter the amount from the following table in both columns.		351,584.													
<table border="1"> <thead> <tr> <th>If the amount on line 1e, column (a) or (b) is:</th> <th>The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e.														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.														
Over \$17,000,000	\$1,000,000.														
<b>g</b> Grassroots nontaxable amount (enter 25% of line 1f)		87,896.													
<b>h</b> Subtract line 1g from line 1a. If zero or less, enter -0-		0.													
<b>i</b> Subtract line 1f from line 1c. If zero or less, enter -0-		0.													
<b>j</b> If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?			<input type="checkbox"/> Yes <input type="checkbox"/> No												

**4-Year Averaging Period Under Section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below.

See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) Total
<b>2 a</b> Lobbying nontaxable amount	459,348.	418,775.	387,087.	351,584.	1,616,794.
<b>b</b> Lobbying ceiling amount (150% of line 2a, column(e))					2,425,191.
<b>c</b> Total lobbying expenditures	86,533.	31,617.	7,563.	20,055.	145,768.
<b>d</b> Grassroots nontaxable amount	114,837.	104,694.	96,772.	87,896.	404,199.
<b>e</b> Grassroots ceiling amount (150% of line 2d, column (e))					606,299.
<b>f</b> Grassroots lobbying expenditures	10,380.	15,744.	422.	467.	27,013.

Schedule C (Form 990 or 990-EZ) 2020

**Part II-B** Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

	(a)		(b)
	Yes	No	Amount
<b>1</b> During the year, did the filing organization attempt to influence foreign, national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
<b>a</b> Volunteers?			
<b>b</b> Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
<b>c</b> Media advertisements?			
<b>d</b> Mailings to members, legislators, or the public?			
<b>e</b> Publications, or published or broadcast statements?			
<b>f</b> Grants to other organizations for lobbying purposes?			
<b>g</b> Direct contact with legislators, their staffs, government officials, or a legislative body?			
<b>h</b> Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
<b>i</b> Other activities?			
<b>j</b> Total. Add lines 1c through 1i			
<b>2a</b> Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
<b>b</b> If "Yes," enter the amount of any tax incurred under section 4912			
<b>c</b> If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
<b>d</b> If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

**Part III-A** Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
<b>1</b> Were substantially all (90% or more) dues received nondeductible by members?	<b>1</b>	
<b>2</b> Did the organization make only in-house lobbying expenditures of \$2,000 or less?	<b>2</b>	
<b>3</b> Did the organization agree to carry over lobbying and political campaign activity expenditures from the prior year?	<b>3</b>	

**Part III-B** Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

<b>1</b> Dues, assessments and similar amounts from members	<b>1</b>	
<b>2</b> Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
<b>a</b> Current year	<b>2a</b>	
<b>b</b> Carryover from last year	<b>2b</b>	
<b>c</b> Total	<b>2c</b>	
<b>3</b> Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	<b>3</b>	
<b>4</b> If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	<b>4</b>	
<b>5</b> Taxable amount of lobbying and political expenditures (See instructions)	<b>5</b>	

**Part IV** Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (See instructions); and Part II-B, line 1. Also, complete this part for any additional information.

**SCHEDULE D**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Financial Statements**

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.**

▶ **Attach to Form 990.**

▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.**

OMB No. 1545-0047

**2020**

**Open to Public Inspection**

**Name of the organization** BARRY GOLDWATER INSTITUTE FOR  
PUBLIC POLICY RESEARCH

**Employer identification number**  
86-0597661

**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year .....		
2 Aggregate value of contributions to (during year) .....		
3 Aggregate value of grants from (during year) .....		
4 Aggregate value at end of year .....		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? <input type="checkbox"/> Yes <input type="checkbox"/> No		
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? <input type="checkbox"/> Yes <input type="checkbox"/> No		

**Part II Conservation Easements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).  
☐ Preservation of land for public use (for example, recreation or education) ☐ Preservation of a historically important land area  
☐ Protection of natural habitat ☐ Preservation of a certified historic structure  
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements .....	2a
b Total acreage restricted by conservation easements .....	2b
c Number of conservation easements on a certified historic structure included in (a) .....	2c
d Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register .....	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ .....

4 Number of states where property subject to conservation easement is located ▶ .....

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ .....

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \$ .....

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under FASB ASC 958, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide in Part XIII the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under FASB ASC 958, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1 ▶ \$ .....

(ii) Assets included in Form 990, Part X ▶ \$ .....

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under FASB ASC 958 relating to these items:

a Revenue included on Form 990, Part VIII, line 1 ▶ \$ .....

b Assets included in Form 990, Part X ▶ \$ .....

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2020

032051 12-01-20



**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that make significant use of its collection items (check all that apply):

- a ☐ Public exhibition  
 b ☐ Scholarly research  
 c ☐ Preservation for future generations  
 d ☐ Loan or exchange program  
 e ☐ Other \_\_\_\_\_

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets

to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

	Amount
1c Beginning balance	
1d Additions during the year	
1e Distributions during the year	
1f Ending balance	

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII ☐

**Part V Endowment Funds.** Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	1,169,892.	965,180.	929,888.	963,047.	879,429.
b Contributions		50,830.			
c Net investment earnings, gains, and losses	97,235.	193,882.	35,292.	151,841.	83,618.
d Grants or scholarships					
e Other expenditures for facilities and programs		40,000.		185,000.	
f Administrative expenses					
g End of year balance	1,267,127.	1,169,892.	965,180.	929,888.	963,047.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment ☐ .0000 %  
 b Permanent endowment ☐ 72.2000 %  
 c Term endowment ☐ 27.8000 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i) Unrelated organizations  
 (ii) Related organizations

	Yes	No
3a(i)		X
3a(ii)		X
3b		

b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? ☐

4 Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land	169,158.	189,322.		358,480.
b Buildings		2,401,547.	1,175,567.	1,225,980.
c Leasehold improvements				
d Equipment		1,351,340.	1,338,255.	13,085.
e Other				
<b>Total.</b> Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				1,597,545.

Schedule D (Form 990) 2020

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives .....		
(2) Closely held equity interests .....		
(3) Other .....		
(A) .....		
(B) .....		
(C) .....		
(D) .....		
(E) .....		
(F) .....		
(G) .....		
(H) .....		
<b>Total.</b> (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) .....		
(2) .....		
(3) .....		
(4) .....		
(5) .....		
(6) .....		
(7) .....		
(8) .....		
(9) .....		
<b>Total.</b> (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

**Part IX Other Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1) .....	
(2) .....	
(3) .....	
(4) .....	
(5) .....	
(6) .....	
(7) .....	
(8) .....	
(9) .....	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	

**Part X Other Liabilities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

(a) Description of liability	(b) Book value
(1) Federal income taxes .....	
(2) SPLIT INTEREST AGREEMENT .....	39,726.
(3) .....	
(4) .....	
(5) .....	
(6) .....	
(7) .....	
(8) .....	
(9) .....	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	

39,726.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FASB ASC 740. Check here if the text of the footnote has been provided in Part XIII ☒

Schedule D (Form 990) 2020

Schedule D (Form 990) 2020

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements	1	6,932,554.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains (losses) on investments	2a	92,740.
b	Donated services and use of facilities	2b	691,128.
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII.)	2d	
e	Add lines 2a through 2d	2e	783,868.
3	Subtract line 2e from line 1	3	6,148,686.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	10,530.
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	10,530.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	6,159,216.

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements	1	4,712,269.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	691,128.
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII.)	2d	
e	Add lines 2a through 2d	2e	691,128.
3	Subtract line 2e from line 1	3	4,021,141.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	10,530.
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	10,530.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	4,031,671.

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

PART V, LINE 4:

THE ENDOWMENT FUNDS ARE USED TO SUPPORT THE DOROTHY D. AND JOSEPH A.

MOLLER CENTER FOR CONSTITUTIONAL GOVERNMENT WHICH STUDIES A VARIETY OF

ISSUES INCLUDING PROPERTY RIGHTS, CAMPAIGN FINANCE REGULATION, REGULATORY

BODIES, LEGISLATIVE TERMS, BALANCE OF POWER AMONG LEVELS OF GOVERNMENT,

PROCESSES OF JUDICIAL APPOINTMENT AND STATE SOVEREIGNTY. THE FUNDS ALSO

SUPPORT TWO FELLOWSHIPS THAT GIVE EXCEPTIONAL STUDENTS THE OPPORTUNITY TO

SPEND A SEMESTER WORKING ON ECONOMIC POLICY AND LAW AT THE INSTITUTE AND

TO BE GROOMED FOR A LEADERSHIP ROLE IN THE ONGOING QUEST FOR FREEDOM.

PART X, LINE 2:

THE INSTITUTE QUALIFIES AS A TAX-EXEMPT ORGANIZATION UNDER SECTION

**Part XIII** Supplemental Information *(continued)*

501(C)(3) OF THE INTERNAL REVENUE CODE AND, ACCORDINGLY, THERE IS NO  
PROVISION FOR INCOME TAXES. INCOME DETERMINED TO BE UNRELATED BUSINESS  
TAXABLE INCOME WOULD BE TAXABLE. GOLDWATER INSTITUTE HOLDING COMPANY, LLC  
IS A DISREGARDED ENTITY FOR INCOME TAX PURPOSES.

THE INSTITUTE EVALUATES THEIR UNCERTAIN TAX POSITIONS, IF ANY, ON A  
CONTINUAL BASIS THROUGH REVIEW OF THEIR POLICIES AND PROCEDURES, REVIEW OF  
THEIR REGULAR TAX FILINGS, AND DISCUSSIONS WITH OUTSIDE EXPERTS. AT  
DECEMBER 31, 2020, MANAGEMENT BELIEVES THE INSTITUTE DOES NOT HAVE ANY  
UNCERTAIN TAX POSITIONS.

THE INSTITUTE'S FEDERAL RETURNS OF ORGANIZATION EXEMPT FROM INCOME TAX  
(FORM 990) FOR 2017, 2018 AND 2019 ARE SUBJECT TO EXAMINATION BY THE IRS,  
GENERALLY FOR THE THREE YEARS AFTER THEY WERE FILED.

Department of the Treasury  
Internal Revenue Service

**Complete if the organization answered "Yes" on Form 990, Part IV, line 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.**

OMB No. 1545-0047

2020

**Open to Public Inspection**

▶ **Attach to Form 990 or Form 990-EZ.**

► Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

**Employer identification number**  
86-0597661

**Part I Fundraising Activities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

**1** Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a ☒ Mail solicitations
- b ☒ Internet and email solicitations
- c ☒ Phone solicitations
- d ☒ In-person solicitations
- e ☒ Solicitation of non-government grants
- f ☐ Solicitation of government grants
- g ☒ Special fundraising events

**2 a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees, or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☒ Yes ☐ No

**b** If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
AMERICAN PHILANTHROPIC, LLC - 119 N HIGH ST, WEST CHESTER,	MESSAGING ADVICE		X	328,540.	65,432.	263,108.
<b>Total</b>				328,540.	65,432.	263,108.

**3** List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

AL, AK, AZ, AR, CA, CO, CT, DE, FL, GA, HI, ID, IL, IN, IA, KS, KY, LA, ME, MD, MA, MI, MN, MS, MO  
MT, NE, NV, NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VT, VA, WA, WV, WI, WY  
DC

**LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.**  
**SEE PART IV FOR CONTINUATIONS**

Schedule G (Form 990 or 990-EZ) 2020

**Part II Fundraising Events.** Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events (add col. (a) through col. (c))
		ANNUAL DINNER (event type)	SPEAKERS (event type)	NONE (total number)	
Revenue	1 Gross receipts .....	81,904.	12,161.		94,065.
	2 Less: Contributions .....	81,904.	8,426.		90,330.
	3 Gross income (line 1 minus line 2) .....		3,735.		3,735.
Direct Expenses	4 Cash prizes .....				
	5 Noncash prizes .....				
	6 Rent/facility costs .....				
	7 Food and beverages .....		3,735.		3,735.
	8 Entertainment .....				
	9 Other direct expenses .....				
	10 Direct expense summary. Add lines 4 through 9 in column (d) .....				3,735.
	11 Net income summary. Subtract line 10 from line 3, column (d) .....				0.

**Part III Gaming.** Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Revenue	1 Gross revenue .....				
	2 Cash prizes .....				
Direct Expenses	3 Noncash prizes .....				
	4 Rent/facility costs .....				
	5 Other direct expenses .....				
	6 Volunteer labor .....	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
	7 Direct expense summary. Add lines 2 through 5 in column (d) .....				
	8 Net gaming income summary. Subtract line 7 from line 1, column (d) .....				

9 Enter the state(s) in which the organization conducts gaming activities: \_\_\_\_\_

a Is the organization licensed to conduct gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain: \_\_\_\_\_

10a Were any of the organization's gaming licenses revoked, suspended, or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain: \_\_\_\_\_

- 11 Does the organization conduct gaming activities with nonmembers? ☐ Yes ☐ No
- 12 Is the organization a grantor, beneficiary or trustee of a trust, or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☐ No
- 13 Indicate the percentage of gaming activity conducted in:
- |                               |     |   |
|-------------------------------|-----|---|
| a The organization's facility | 13a | % |
| b An outside facility         | 13b | % |
- 14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ► \_\_\_\_\_

Address ► \_\_\_\_\_

- 15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☐ No

b If "Yes," enter the amount of gaming revenue received by the organization ► \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ► \$ \_\_\_\_\_

c If "Yes," enter name and address of the third party:

Name ► \_\_\_\_\_

Address ► \_\_\_\_\_

## 16 Gaming manager information:

Name ► \_\_\_\_\_

Gaming manager compensation ► \$ \_\_\_\_\_

Description of services provided ► \_\_\_\_\_

☐ Director/officer☐ Employee☐ Independent contractor

## 17 Mandatory distributions:

- a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☐ No

b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ► \$ \_\_\_\_\_

**Part IV Supplemental Information.** Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions.

## SCHEDULE G, PART I, LINE 2B, LIST OF TEN HIGHEST PAID FUNDRAISERS:

(I) NAME OF FUNDRAISER: AMERICAN PHILANTHROPIC, LLC

(I) ADDRESS OF FUNDRAISER: 119 N HIGH ST, WEST CHESTER, PA 19380



<b>Part IV</b>	<b>Supplemental Information</b> <i>(continued)</i>
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**SCHEDULE J  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Compensation Information**

For certain Officers, Directors, Trustees, Key Employees, and Highest  
Compensated Employees

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

▶ Attach to Form 990.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047

**2020**

Open to Public  
Inspection

Name of the organization

BARRY GOLDWATER INSTITUTE FOR  
PUBLIC POLICY RESEARCH

Employer identification number

86-0597661

**Part I Questions Regarding Compensation**

**1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- |  |  |
|--|--|
| <input type="checkbox"/> First-class or charter travel             | <input type="checkbox"/> Housing allowance or residence for personal use   |
| <input type="checkbox"/> Travel for companions                     | <input type="checkbox"/> Payments for business use of personal residence   |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees     |
| <input type="checkbox"/> Discretionary spending account            | <input type="checkbox"/> Personal services (such as maid, chauffeur, chef) |

**b** If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

**2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?

**3** Indicate which, if any, of the following the organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- |   |   |
|---|---|
| <input type="checkbox"/> Compensation committee                     | <input type="checkbox"/> Written employment contract                                |
| <input type="checkbox"/> Independent compensation consultant        | <input type="checkbox"/> Compensation survey or study                               |
| <input checked="" type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

**4** During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

**a** Receive a severance payment or change-of-control payment?

**b** Participate in or receive payment from a supplemental nonqualified retirement plan?

**c** Participate in or receive payment from an equity-based compensation arrangement?

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

**Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.**

**5** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

**a** The organization?

**b** Any related organization?

If "Yes" on line 5a or 5b, describe in Part III.

**6** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

**a** The organization?

**b** Any related organization?

If "Yes" on line 6a or 6b, describe in Part III.

**7** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III

**8** Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

**9** If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

Yes No

<b>1b</b>		
<b>2</b>		
<b>4a</b>		X
<b>4b</b>		X
<b>4c</b>		X
<b>5a</b>		X
<b>5b</b>		X
<b>6a</b>		X
<b>6b</b>		X
<b>7</b>		X
<b>8</b>		X
<b>9</b>		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2020

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**Note:** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

Schedule J (Form 990) 2020

**Part II. Supplemental information**  
Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

[illegible]

**SCHEDULE M  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Noncash Contributions**

- ▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**  
▶ **Attach to Form 990.**  
▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.**

OMB No. 1545-0047

**2020**

**Open to Public  
Inspection**

Name of the organization **BARRY GOLDWATER INSTITUTE FOR  
PUBLIC POLICY RESEARCH**

Employer identification number  
**86-0597661**

**Part I Types of Property**

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art - Works of art				
2 Art - Historical treasures				
3 Art - Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities - Publicly traded	X	4	206,993	FAIR MARKET VALUE
10 Securities - Closely held stock				
11 Securities - Partnership, LLC, or trust interests				
12 Securities - Miscellaneous				
13 Qualified conservation contribution - Historic structures				
14 Qualified conservation contribution - Other				
15 Real estate - Residential				
16 Real estate - Commercial				
17 Real estate - Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ( )				
26 Other ( )				
27 Other ( )				
28 Other ( )				

29 Number of Forms 8283 received by the organization during the tax year for contributions  
for which the organization completed Form 8283, Part V, Donee Acknowledgement

29

0

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it  
must hold for at least three years from the date of the initial contribution, and which isn't required to be used for  
exempt purposes for the entire holding period?

	Yes	No
30a		X
31	X	
32a	X	
33		

b If "Yes," describe the arrangement in Part II.

31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash  
contributions?

b If "Yes," describe in Part II.

33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked,  
describe in Part II.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) 2020

**Part II**

**Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

SCHEDULE M, LINE 32B:

THE INSTITUTE PROCESSES DONATED REAL ESTATE THROUGH GOLDWATER INSTITUTE

HOLDING COMPANY, LLC, IN WHICH THE INSTITUTE IS THE SOLE MEMBER.

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No. 1545-0047

**2020**

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Name of the organization **BARRY GOLDWATER INSTITUTE FOR  
PUBLIC POLICY RESEARCH**

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**FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:**

**DEFEND AND STRENGTHEN THE FREEDOM GUARANTEED TO ALL AMERICANS IN THE  
CONSTITUTIONS OF THE UNITED STATES AND ALL FIFTY STATES.**

**FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:**

**ADOPT POLICIES THAT SUSTAIN AND RESTORE ECONOMIC LIBERTY, EDUCATIONAL  
FREEDOM, PERSONAL RESPONSIBILITY, AND CONSTITUTIONAL LIMITS ON  
GOVERNMENT POWER CONSISTENT WITH THE FOUNDING PRINCIPLES OF OUR  
CONSTITUTIONAL REPUBLIC. WHEN GOVERNMENT OVERSTEPS ITS PROPER BOUNDS,  
THE GOLDWATER INSTITUTE USES PUBLIC INTEREST LITIGATION TO ENFORCE  
INDIVIDUAL RIGHTS AND CONSTRAINTS ON GOVERNMENT POWER GUARANTEED BY OUR  
STATE AND FEDERAL CONSTITUTIONS.**

**FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:**

**"LITIGATION BY LETTERHEAD" EFFORT, WHERE WE INDUCE GOVERNMENT TO CEASE  
UNLAWFUL ACTIONS BY PROVIDING THEM WITH INFORMATION BY LETTER, WITHOUT  
HAVING TO GO TO COURT. IN 2020, THE GOLDWATER INSTITUTE'S ACTIVE  
CASELOAD AVERAGED 22, WE SENT 5 LITIGATION-BY-LETTERHEAD LETTERS, AND  
WE ADVANCED CUTTING-EDGE LEGAL SCHOLARSHIP IN THE COURTS BY FILING 15  
AMICUS CURIAE BRIEFS. WE HAD 4 LITIGATION VICTORIES, 3 LETTERHEAD  
VICTORIES, AND 10 AMICUS VICTORIES IN 2020. (SEE CASE UPDATE BELOW)**

**FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS:**

**VICTORIES INCLUDE STATE LAWS THAT EMPOWER FAMILIES WITH GREATER  
EDUCATIONAL OPTIONS THAT FIT THEIR CHILDREN'S UNIQUE NEEDS AND LAWS**

**THAT SHIELD NON-PROFIT DONORS FROM HAVING THEIR NAMES AND PERSONAL**

**LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.**

**Schedule O (Form 990 or 990-EZ) 2020**

032211 11-20-20

Name of the organization BARRY GOLDWATER INSTITUTE FOR  
PUBLIC POLICY RESEARCH

Employer identification number  
86-0597661

INFORMATION PUT ON A GOVERNMENT LIST, THUS EXPOSING THEM TO POTENTIAL  
HARASSMENT AND INTIMIDATION. WE ALSO ADVISED STATE GOVERNORS IN  
CRAFTING EXECUTIVE ORDERS THAT TEMPORARILY SUSPENDED BURDENSOME AND  
UNNECESSARY REGULATIONS DURING THE COVID-19 CRISIS. THIS WORK WAS  
SUPPORTED IN PART THROUGH OUR RONALD REAGAN FELLOWS PROGRAM, WHICH  
DEVELOPS YOUNG TALENT BY TEACHING AND EMPLOYING 14 INTERNS AND LAW  
CLERKS.

FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS:

DAILY INDEPENDENT. OUR EXPERTS WERE ALSO A REGULAR PRESENCE ON LOCAL  
AND NATIONALLY SYNDICATED RADIO SHOWS, HELPING TO CIRCULATE GOLDWATER'S  
WORK TO AN EVEN WIDER AUDIENCE.

THE GOLDWATER INSTITUTE'S BLOG, IN DEFENSE OF LIBERTY, HAD ITS MOST  
SUCCESSFUL YEAR YET. MORE THAN 150,000 VISITORS CAME TO OUR BLOG MORE  
THAN 203,000 TIMES FOR TIMELY INSIGHT AND ANALYSIS OF A WIDE VARIETY OF  
POLICY TOPICS, INCLUDING EXPERT ANALYSIS OF THE GOVERNMENT'S RESPONSE  
TO THE COVID-19 PANDEMIC. IN THE MONTH WITH THE HIGHEST READERSHIP -  
JULY 2020 - THE BLOG RECEIVED 20,846 VIEWS FROM 16,048 VISITORS. OUR  
PRIMARY WEBSITE, GOLDWATERINSTITUTE.ORG, WAS VIEWED BY NEARLY 113,000  
VISITORS MORE THAN 211,000 TIMES.

AND THE GOLDWATER INSTITUTE CONTINUED TO BOLSTER ITS INFLUENCE ON  
SOCIAL MEDIA, AS WELL. OUR FACEBOOK POSTS REACHED MORE THAN 376,000  
PEOPLE, AND OUR CONTENT RECEIVED MORE THAN 1,004,000 IMPRESSIONS ON  
TWITTER. ADDITIONALLY, OUR VIDEOS RECEIVED MORE THAN 22,000 VIEWS ON  
YOUTUBE.



Name of the organization BARRY GOLDWATER INSTITUTE FOR  
PUBLIC POLICY RESEARCH

Employer identification number  
86-0597661

FORM 990, PART III, LINE 4A: CASE UPDATE

BATES V. STATE OF OREGON

WE REPRESENT THE OWNER OF AN OREGON VAPE-SHOP WHOSE FREE SPEECH RIGHTS  
ARE VIOLATED BY STRINGENT LABELING REQUIREMENTS ON VAPING LIQUIDS THAT  
PROHIBIT SELLERS FROM ACCURATELY LABELING THE FLAVORED LIQUIDS THEY  
SELL WITH WORDS SUCH AS "STRAWBERRY" OR "FRUIT-FLAVORED," OR FROM  
INCLUDING PICTURES OF STRAWBERRIES OR FRUIT. WE CONTEND THAT THIS  
VIOLATES BOTH THE FIRST AMENDMENT AND THE STATE CONSTITUTION. THE CASE  
IS STILL PENDING IN TRIAL COURT. NO FEES HAVE BEEN AWARDED.

BORGELT V. CITY OF AUSTIN (FORMERLY PULLIAM V. CITY OF AUSTIN)  
PROPERTY TAXPAYERS IN AUSTIN, TEXAS CHALLENGE THE PRACTICE OF RELEASE  
TIME BY THE CITY OF AUSTIN AND THE AUSTIN FIREFIGHTERS ASSOCIATION  
UNDER THE ANTI-SUBSIDY PROVISIONS OF THE TEXAS CONSTITUTION. UNDER THE  
PRACTICE OF RELEASE TIME, FIRST RESPONDERS IN AUSTIN ARE "RELEASED"  
FROM THEIR GOVERNMENT JOBS TO EXCLUSIVELY WORK FOR THE UNION. THIS  
PRACTICE IS AN UNLAWFUL SUBSIDY TO A PRIVATE ENTITY. THE PRIMARY GOAL  
OF THIS LITIGATION IS TO ELIMINATE PAID RELEASE TIME AND BUILD  
FAVORABLE ANTI-SUBSIDY CASE LAW IN TEXAS. THE CASE IS ON APPEAL.

BOUDREAUX V. LOUISIANA STATE BAR ASSN.  
THIS CASE CHALLENGES A LOUISIANA LAW THAT REQUIRES ALL ATTORNEYS WHO  
PRACTICE IN THE STATE TO BE MEMBERS OF AND PAY DUES TO THE LOUISIANA  
STATE BAR ASSOCIATION. THE LSBA USES MEMBERS' MANDATORY DUES TO LOBBY  
FOR VARIOUS POLICIES, MANY OF WHICH HAVE NOTHING TO DO WITH THE  
PRACTICE OF LAW, IN VIOLATION OF MEMBERS' FIRST AMENDMENT RIGHTS. THE  
LSBA ALSO LACKS SAFEGUARDS REQUIRED BY EXISTING SUPREME COURT PRECEDENT  
TO ENSURE THAT MEMBER DUES ARE ONLY USED FOR ACTIVITIES GERMANE TO

Name of the organization BARRY GOLDWATER INSTITUTE FOR  
PUBLIC POLICY RESEARCH

Employer identification number  
86-0597661

IMPROVING THE QUALITY OF LEGAL SERVICES AND REGULATING THE PRACTICE OF  
LAW. THE CASE IS STILL PENDING IN THE COURT OF APPEALS. NO FEES HAVE  
BEEN AWARDED.

COMMONWEALTH OF MASSACHUSETTS V. ONE 2011 INFINITI G37

AS PART OF OUR ASSET FORFEITURE PROJECT, THE INSTITUTE IS REPRESENTING  
MALINDA HARRIS, WHOSE CAR WAS SEIZED BY STATE POLICE DESPITE THE FACT  
THAT SHE HAS NEVER BEEN CHARGED WITH OR CONVICTED OF A CRIME. AFTER  
LENGTHY NEGOTIATIONS THE STATE AGREED TO RETURN THE CAR AS WELL AS TENS  
OF THOUSANDS OF DOLLARS IN CASH THAT THE STATE HAD UNJUSTIFIABLY SEIZED  
FROM HER CHILDREN. NO ATTORNEY FEES WERE SOUGHT OR AWARDED.

CROWE V. STATE BAR OF OREGON

WE REPRESENT SEVERAL ATTORNEYS WHO OBJECT TO STATE LAWS THAT FORCE THEM  
TO JOIN AND TO SUBSIDIZE THE STATE BAR ASSOCIATION WHICH SPENDS THEIR  
ANNUAL DUES ON LOBBYING AND POLITICAL STATEMENTS WITH WHICH THEY  
DISAGREE. WE CONTEND THAT THIS VIOLATES THE FIRST AMENDMENT RIGHTS OF  
FREE SPEECH AND ASSOCIATION. THE NINTH CIRCUIT RULED PARTIALLY IN FAVOR  
OF OUR CLIENTS, AND PARTIALLY AGAINST THEM, AND WE ARE NOW ASKING THE  
SUPREME COURT TO TAKE THE CASE. NO FEES HAVE YET BEEN AWARDED.

ENGLEHORN V. CITY OF PHOENIX

THE CITY OF PHOENIX PROVIDED A SUBSTANTIAL TAX SUBSIDY TO THE DEVELOPER  
OF A 19-STORY APARTMENT BUILDING IN PHOENIX UNDER THE "GOVERNMENT  
PROPERTY LEASE EXCISE TAX." AS A RESULT OF THIS PREFERENTIAL TAX  
TREATMENT, OTHER TAXPAYERS WERE FORCED TO MAKE UP THE DEFICIENCY. WE  
ARE CHALLENGING THIS SUBSIDY UNDER PROVISIONS IN THE ARIZONA

CONSTITUTION AS WELL AS STATUTORY LIMITS ON GPLET THAT THE CITY DID NOT

Name of the organization BARRY GOLDWATER INSTITUTE FOR  
PUBLIC POLICY RESEARCH

Employer identification number  
86-0597661

FOLLOW. WE WON THIS CASE WHEN THE TRIAL COURT RULED THAT THE SUBSIDY  
VIOLATES THE GIFT CLAUSE. THE TRIAL COURT AWARDED PLAINTIFFS \$50,000 IN  
ATTORNEY FEES.

FANN V. STATE OF ARIZONA

THIS CASE CHALLENGES THE CONSTITUTIONALITY OF AN ARIZONA BALLOT  
INITIATIVE THAT IMPOSES A MASSIVE TAX INCREASE ON ARIZONANS WITHOUT  
OBTAINING THE CONSTITUTIONALLY REQUIRED LEGISLATIVE VOTE, AND MANDATES  
SPENDING IN WAYS THAT VIOLATE THE STATE CONSTITUTION'S SPENDING  
LIMITATIONS. REPRESENTING A COALITION OF TAXPAYERS, BUSINESS AND  
LEGISLATIVE LEADERS, THE INSTITUTE ARGUES THAT THE INITIATIVE VIOLATES  
SEVERAL PROVISIONS OF THE STATE CONSTITUTION. THE CASE IS STILL  
PENDING, AND NO ATTORNEY FEES HAVE BEEN AWARDED.

FLECK V. WETCH

THIS CASE CHALLENGED NORTH DAKOTA'S LAW REQUIRING LAWYERS TO JOIN AND  
PAY DUES TO THE STATE BAR. WE ARGUED THAT THIS VIOLATED OUR CLIENT'S  
FIRST AMENDMENT RIGHTS TO FREEDOM OF SPEECH AND FREEDOM OF ASSOCIATION.  
AFTER THE SUPREME COURT DECIDED JANUS V. AFSCME, WHICH REQUIRED COURTS  
TO CONSIDER FREEDOM OF ASSOCIATION IN SUCH CASES, WE ARGUED THAT THE  
JANUS PRECEDENT SHOULD APPLY TO THIS CASE. THE EIGHTH CIRCUIT COURT OF  
APPEALS DISAGREED, AND THE SUPREME COURT DENIED REVIEW. WE THEREUPON  
CLOSED THE CASE. NO FEES WERE AWARDED.

GANDOLFO V. AZ BOARD OF PSYCHIATRIC EXAMINERS

CAROL GANDOLFO HAS BEEN A LICENSED PSYCHOLOGIST IN CALIFORNIA FOR 20  
YEARS. SHE RELOCATED TO ARIZONA SEVERAL YEARS AGO. AFTER HER  
RELOCATION, ARIZONA PASSED A UNIVERSAL LICENSING RECOGNITION LAW THAT

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REQUIRES ARIZONA LICENSING AUTHORITIES TO RECOGNIZE OUT-OF-STATE

OCCUPATIONAL LICENSES. HOWEVER, WHEN SHE APPLIED FOR LICENSURE UNDER

THE NEW LAW, DR. GANDOLFO WAS DENIED A LICENSE BASED ON REQUIREMENTS

THAT DID NOT EXIST IN THE LAW. AFTER THE GOLDWATER INSTITUTE

REPRESENTED HER IN ADMINTRATIVE PROCEEDINGS BEFORE THE BOARD, HER

LICENSE WAS AWARDED. THE BOARD SEPARATELY BROUGHT AN ALLEGATION OF

"UNAUTHORIZED PRACTICE". THE INSTITUTE REPRESENTED DR. GANDOLFO BEFORE

THE BOARD ON THAT ISSUE AS WELL, AND PREVAILED WHEN THE BOARD WITHDREW

THE COMPLAINT. THE CASE WAS CLOSED AND NO FEES WERE SOUGHT OR AWARDED

GARCIA V. SCOTTSDALE

WE REPRESENTED LUIS GARCIA, WHO HAD \$5,300 IN CASH SEIZED FROM HIM BY

SCOTTSDALE POLICE OFFICERS UNDER ASSET FOREFITURE, DESPITE HIS NOT

BEING CHARGED WITH OR CONVICTED OF A CRIME. WE FILED A DEMAND FOR THE

RETURN OF THE CASH. THE CITY RETURNED THE MONEY WITHOUT OUR HAVING TO

APPEAR IN COURT. NO FEES WERE SOUGHT OR AWARDED.

GILMORE V. GALLEG0

THIS CASE IS A CHALLENGE TO RELEASE TIME IN A COLLECTIVE BARGAINING

AGREEMENT BETWEEN THE CITY OF PHOENIX AND AFSCME UNDER THE ARIZONA

CONSTITUTION'S FREE EXPRESSION AND ASSOCIATION PROVISIONS AND RIGHT TO

WORK LAWS. UNDER RELEASE TIME, GOVERNMENT EMPLOYEES ARE "RELEASED" FROM

THE JOBS THEY WERE HIRED TO PERFORM TO WORK EXCLUSIVELY FOR GOVERNMENT

UNIONS - WHILE RECEIVING TAXPAYER-FUNDED SALARIES AND BENEFITS. WHILE

ON RELEASE TIME, GOVERNMENT WORKERS ARE PAID TO INCREASE UNION

MEMBERSHIP, ENGAGE IN POLITICAL ACTIVITIES, LOBBY THE GOVERNMENT, FILE

GRIEVANCES AGAINST THEIR EMPLOYER, AND NEGOTIATE FOR HIGHER WAGES AND

BENEFITS, AMONG OTHER THINGS. RELEASE TIME IS "PART OF TOTAL

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COMPENSATION" TO ALL UNIT EMPLOYEES, WHETHER THEY BELONG TO THE UNION  
OR NOT. AS SUCH, NON-UNION MEMBERS MUST PROVIDE AFFIRMATIVE CONSENT  
BEFORE ANY PORTION OF THEIR WAGES ARE DIRECTED TO RELEASE TIME. IN THIS  
CASE, WE ARE REPRESENTING NON-UNION MEMBERS WHO OBJECT TO HAVING THEIR  
WAGES GO TO SUPPORT UNION ACTIVITIES PERFORMED WHILE ON RELEASE TIME.  
THE CASE IS PENDING IN TRIAL COURT. THOUGH SOUGHT, NO FEES HAVE BEEN  
AWARDED.

GI V. US HHS

GOLDWATER SUBMITTED A FOIA REQUEST TO THE FDA SEEKING RECORDS REGARDING  
THE PROCESS BY WHICH THE FDA APPROVED USE OF THE DRUG, ZMAPP, FOR  
INDIVIDUALS INFECTED WITH THE EBOLA VIRUS. THE FDA DENIED THE REQUEST,  
CLAIMING THAT THE RECORDS WERE "TRADE SECRETS" OR CONFIDENTIAL  
COMMERCIAL INFORMATION EXEMPTED FROM DISCLOSURE UNDER THE FOIA. THE  
TRIAL COURT ORDERED THE FDA TO PRODUCE A DETAILED LOG OF THE RECORDS IT  
WITHHELD, AS WELL AS A JUSTIFICATION FOR WITHHOLDING THOSE RECORDS, BUT  
AFTER IT DID SO, THE FDA AGAIN WITHHELD THE RECORDS, THIS TIME UNDER A  
NEW LEGAL THEORY, AND THE TRAIL COURT RULED IN ITS FAVOR. WE APPEALED  
TO THE NINTH CIRCUIT COURT OF APPEALS, AND WON A REVERSAL ON THE  
CRUCIAL LEGAL QUESTION. THE GOLDWATER INSTITUTE SETTLED FOR A TOTAL OF  
\$35,000 IN ATTORNEY FEES FOR LITIGATION AT THE APPELLATE AND TRIAL  
LEVELS.

HALLFORD V. ADE

ARIZONA'S EMPOWERMENT SCHOLARSHIP ACCOUNT (ESA) PROGRAM WAS DESIGNED TO  
GIVE PARENTS CHOICES FOR EDUCATING THEIR CHILDREN. THE PROGRAM ALLOWS  
PARTICIPATING FAMILIES - INCLUDING THOSE WITH SPECIAL NEEDS KIDS AND  
PARENTS LIVING ON INDIAN RESERVATIONS - TO SEND THEIR KIDS TO A SCHOOL

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THAT BEST FITS THEIR CHILDREN'S NEEDS, USING THE MONEY THE GOVERNMENT  
WOULD HAVE SPENT ON THEIR EDUCATION AT A GOVERNMENT-RUN SCHOOL. THIS  
ALLOWS PARENTS TO ENSURE THAT THEIR KIDS RECEIVE THE SPECIALLY TAILORED  
SERVICES THEY NEED. UNFORTUNATELY, THE ARIZONA DEPARTMENT OF  
EDUCATION'S (ADE) MANAGEMENT OF THE PROGRAM POSES A SEVERE OBSTACLE TO  
FAMILIES WHO SEEK TO PARTICIPATE. ON BEHALF OF SEVERAL ARIZONA  
FAMILIES, THE GOLDWATER INSTITUTE HAS FILED SUIT AGAINST THE DEPARTMENT  
SEEKING A COURT ORDER BARRING THE DEPARTMENT FROM CONTINUING ITS  
UNLAWFUL PRACTICES. THE COURT RULED AGAINST OUR CLIENTS AND ORDERED  
THEM TO PAY ATTORNEY FEES. HOWEVER, ADE AGREED TO A SETTLEMENT IN WHICH  
IT WAIVED THESE FEES.

#### HOBBS V. PACIFIC GROVE

PACIFIC GROVE, A SMALL COASTAL CITY IN CALIFORNIA WHERE HOME-SHARING -  
RENTING A ROOM OR A PRIVATE HOME FROM A HOMEOWNER - IS ESPECIALLY  
POPULAR, DECIDED TO LITERALLY RAFFLE OFF THE PROPERTY RIGHTS OF ITS  
RESIDENTS VIA A LOTTERY. WINNERS - ONLY 15 PERCENT OF PACIFIC GROVE  
PROPERTIES PER ZONE - GET TO KEEP CONDUCTING SHORT-TERM RENTALS. BUT  
DOZENS OF OTHER HOMEOWNERS SUDDENLY AND UNFAIRLY LOST THE RIGHT TO RENT  
THEIR HOMES TO GENERATE INCOME THAT HELPS THEM AND THEIR FAMILIES. AND  
BECAUSE THE LOTTERY WAS RANDOM, OWNERS WHO WITH NUMEROUS COMPLAINTS  
WERE ALLOWED TO KEEP THEIR PERMITS, WHILE RESPONSIBLE HOMEOWNERS WERE  
NOT. WE CHALLENGED THE CITY'S ACTIONS UNDER THE STATE'S COASTAL ACT AND  
THE CONSTITUTION'S DUE PROCESS PROVISIONS. THE TRIAL COURT RULED IN OUR  
FAVOR ON THE COASTAL ACT CLAIM AND ORDERED TRIAL ON THE REMAINING  
CLAIMS. WE FILED AN APPEAL, WHICH IS STILL PENDING. NO FEES HAVE BEEN  
AWARDED.

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MAXWELL V. VOLUSIA COUNTY SCHOOL DISTRICT

TYLER MAXWELL WAS A HIGH SCHOOL SENIOR WHO SHOWED HIS PREFERENCE IN THE  
2020 PRESIDENTIAL ELECTION BY DRIVING TO SCHOOL IN A PICKUP TRUCK WITH  
A LARGE PLASTER ELEPHANT IN THE BACK PAINTED WITH THE NAME "TRUMP." THE  
SCHOOL DISTRICT REVOKED HIS PARKING PERMIT AND TOLD HIM HE WAS NOT TO  
COME TO SCHOOL IF HE REFUSED TO REMOVE THE ELEPHANT FROM HIS TRUCK,  
DESPITE THE FACT THAT THE SCHOOL ALLOWED STUDENTS TO EXPRESS OTHER  
POLITICAL MESSAGES (BY, FOR EXAMPLE, WEARING T SHIRTS OR HAVING BUMPER  
STICKERS ON THEIR CARS). WE SUED ON MAXWELL'S BEHALF, AND SOUGHT AN  
INJUNCTION AGAINST THE SCHOOL, WHICH WAS GRANTED. THE PARTIES THEN  
ENTERED A SETTLEMENT AGREEMENT UNDER WHICH THE DISTRICT PAID ATTORNEY  
FEES IN THE AMOUNT OF APPROXIMATELY \$32,000.

MCBRIDE V. PIMA COUNTY

THE PIMA COUNTY SHERIFF'S DEPARTMENT SEIZED KEVIN MCBRIDE'S JEEP  
THROUGH CIVIL ASSET FORFEITURE AFTER HIS GIRLFRIEND USED IT TO DRIVE TO  
A PARKING LOT WHERE SHE SOLD LESS THAN TWO OUNCES OF MARIJUANA. AS PART  
OF OUR ASSET FORFEITURE PROJECT, WE REPRESENTED MCBRIDE - WHO WAS NOT  
CHARGED WITH OR CONVICTED OF ANY CRIME - IN FILING A DEMAND FOR THE  
RETURN OF HIS JEEP. THE DEPARTMENT DEMANDED A PAYMENT OF \$1,900 FOR  
RETURN OF THE JEEP, BUT WHEN THE INSTITUTE REFUSED, IT ABANDONED THAT  
DEMAND AND RETURNED THE JEEP.

MENDEZ V. CHICAGO

THE RISE OF THE "SHARING ECONOMY" HAS OPENED NEW DOORS OF ECONOMIC  
OPPORTUNITY NATIONWIDE. AMONG THE MOST IMPORTANT ARE "HOME-SHARING"  
SERVICES LIKE AIRBNB, THAT CONNECT TRAVELERS WITH HOMEOWNERS SEEKING TO  
RENT OUT ROOMS IN THEIR HOMES. BUT MANY LOCAL GOVERNMENT OFFICIALS HAVE

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RESPONDED BY BANNING HOME-SHARING OR IMPOSING RULES THAT UNREASONABLY  
RESTRICT THE RIGHTS OF HOME-SHARERS. CHICAGO IMPOSED A RULE WHERE A NEW  
58-PAGE ORDINANCE LEVIES A \$10,000 LICENSING FEE ON RENTAL PLATFORMS  
LIKE AIRBNB AND REQUIRES HOME SHARERS TO OPEN THEIR HOMES TO CITY  
INSPECTORS "AT ANY TIME AND IN ANY MANNER." ANOTHER PROVISION REQUIRES  
PROPERTY OWNERS TO HAND OVER ANY PERSONAL INFORMATION THE CITY  
CONSIDERS "REASONABLY REQUIRED" TO ISSUE THE LICENSE. STILL ANOTHER  
PROVISION REQUIRES HOMEOWNERS TO COMPLY WITH SANITATION STANDARDS LIKE  
THOSE IMPOSED ON COMMERCIAL KITCHENS, EVEN THOUGH HOME-SHARERS DON'T  
PREPARE MEALS FOR GUESTS. WE WERE SUCCESSFUL ON GETTING THE CITY TO  
CHANGE ITS ANTI-PRIVACY RULES, AND WE ARE CHALLENGING THE  
CONSTITUTIONALITY OF THE REMAINDER OF THE ORDINANCE ON VARIOUS GROUNDS.  
THE CASE IS STILL PENDING. NO FEES HAVE BEEN AWARDED.

NICHOLS V. CITY OF MIAMI BEACH

MIAMI BEACH VIOLATED HOMEOWNERS' PROPERTY RIGHTS BY IMPOSING EXCESSIVE  
PENALTIES FOR HOME-SHARING AND CONTINUES TO VIOLATE PROPERTY RIGHTS BY  
ALLOWING SOME PROPERTY OWNERS, BUT NOT OTHERS, TO OFFER SHORT-TERM  
RENTALS. WE SUCCEEDED IN A CONSTITUTIONAL CHALLENGE TO MIAMI BEACH'S  
EXCESSIVE FINES IN BOTH THE TRIAL COURT AND THE COURT OF APPEALS. OUR  
EQUAL PROTECTION CHALLENGE TO THE CITY'S SELECTIVE BAN IS STILL PENDING  
IN TRIAL COURT. NO FEES HAVE BEEN AWARDED.

POMEROY V. UTAH STATE BAR

THIS CASE CHALLENGES THE CONSTITUTIONALITY OF UTAH STATE LAWS THAT  
REQUIRE ATTORNEYS TO JOIN AND FUND THE STATE BAR ASSOCIATION, DESPITE  
THE FACT THAT THE BAR SPENDS DUES ON POLITICAL ACTIVITIES, INCLUDING  
LOBBYING, TAKING POSITIONS WITH WHICH MEMBERS MAY NOT AGREE. THIS



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VIOLATES THE FIRST AMENDMENT FREEDOMS OF SPEECH AND ASSOCIATION. THIS

CASE IS PENDING IN TRIAL COURT AND NO FEES HAVE BEEN AWARDED.

RIO GRANDE FOUNDATION V. CITY OF SANTA FE

THIS LAWSUIT CHALLENGES THE CONSTITUTIONALITY OF A SANTA FE, NM,

ORDINANCE WHICH REQUIRES DISCLOSURE TO THE CITY OF PERSONAL INFORMATION

ABOUT DONORS IF SPENDING MORE THAN \$250 TO OPPOSE A MUNICIPAL BALLOT

PROPOSITION. WE ARGUE THAT IT VIOLATES THE FREE SPEECH RIGHTS OF

NONPROFIT ORGANIZATIONS THAT WISH TO SPEAK OUT ON MATTERS OF PUBLIC

DEBATE WITHOUT BEING FORCED TO TURN OVER THE PERSONAL IDENTIFYING

PUBLIC POLICY INFORMATION OF THEIR SUPPORTERS TO THE GOVERNMENT. THE

CASE IS STILL PENDING. NO FEES HAVE BEEN AWARDED.

MARSZALEK V. ILLINOIS STATE POLICE (FORMERLY BRADLEY V. ILLINOIS STATE

POLICE AND ROBINSON V. ILLINOIS STATE POLICE)

ILLINOIS REQUIRES PEOPLE WHO WISH TO POSSESS A FIREARM FOR SELF-DEFENSE

TO OBTAIN A STATE PERMIT. ALTHOUGH STATE LAW PROMISES THAT PERMIT

APPLICATIONS WILL BE REVIEWED WITHIN A NARROW TIMEFRAME, STATE

OFFICIALS ROUTINELY IGNORE THIS REQUIREMENT, WITH THE RESULT THAT

INDIVIDUALS IN NEED OF TOOLS FOR SELF-DEFENSE ARE TYPICALLY DENIED THAT

RIGHT. ON BEHALF OF SEVERAL INDIVIDUALS AND GUN RIGHTS GROUPS, THE

INSTITUTE IS SUING THE ILLINOIS STATE POLICE AND ITS OFFICIALS FOR

VIOLATING THE CONSTITUTIONAL RIGHT TO POSSESS A FIREARM AS WELL AS THE

RIGHT TO DUE PROCESS. THE CASE IS PENDING IN THE TRIAL COURT. NO FEES

HAVE BEEN AWARDED.

RODGERS V. HUCKELBERRY

PIMA COUNTY DEVOTED \$15 MILLION OF TAXPAYER MONEY TO FUND THE

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CONSTRUCTION OF A BALLOON LAUNCH PAD AND COMPANY HEADQUARTERS FOR THE  
PRIVATE BENEFIT OF WORLD VIEW ENTERPRISES, INC. THE COUNTY BUILT THE  
PROJECT USING ITS PRE-CHOSEN CONTRACTORS RATHER THAN BIDDING OUT THE  
WORK AS REQUIRED BY STATE LAW. BECAUSE THIS AGREEMENT SERVES NO PUBLIC  
PURPOSE AND FAILS TO PROVIDE THE COUNTY WITH AN ADEQUATE RETURN ON ITS  
INVESTMENT, IT VIOLATES THE GIFT CLAUSE OF THE ARIZONA CONSTITUTION,  
WHICH PROHIBITS GOVERNMENT LOANS AND SUBSIDIES TO PRIVATE CORPORATIONS.  
SEVERAL ISSUES HAVE BEEN APPEALED, BUT THE GIFT CLAUSE CLAIM IS STILL  
PENDING IN THE COURT OF APPEALS. NO FEES HAVE BEEN AWARDED.

ROZENBLIT V. LYLES

TEACHERS' UNIONS IN NEW JERSEY, AS ELSEWHERE, HAVE NEGOTIATED DEALS IN  
LABOR CONTRACTS THAT ALLOW FOR "RELEASE TIME" BY EDUCATORS TO PERFORM  
UNION BUSINESS AT TAXPAYER EXPENSE WITHOUT ADEQUATE CONTROLS IN PLACE  
TO ENSURE THAT A PUBLIC PURPOSE IS BEING SERVED. BECAUSE TAXPAYERS  
RECEIVE LITTLE TO NOTHING IN RETURN, THIS GRANT AND OTHERS LIKE IT  
REPRESENT A VIOLATION OF THE NEW JERSEY CONSTITUTION'S GIFT CLAUSE. THE  
GOLDWATER INSTITUTE REPRESENTED TAXPAYERS IN A CASE THAT ARGUED THAT  
RELEASE TIME VIOLATES THE NEW JERSEY CONSTITUTION'S GIFT CLAUSE. THE  
COURT OF APPEALS RULED IN OUR CLIENTS' FAVOR ON THE GROUNDS THAT  
RELEASE TIME PROVISIONS ARE NOT WITHIN THE STATUTORY BARGAINING  
AUTHORITY OF SCHOOL DISTRICTS. THE STATE SUPREME COURT, HOWEVER, RULED  
AGAINST OUR CLIENTS. NO FEES WERE AWARDED.

SCHELL V. GURICH

THIS CASE CHALLENGES OKLAHOMA LAWS THAT REQUIRE LAWYERS TO JOIN AND PAY  
DUES TO THE OKLAHOMA BAR ASSOCIATION. THE OBA USES DUES MONEY TO LOBBY  
THE GOVERNMENT AND TAKE POLITICAL POSITIONS WITH WHICH MEMBERS DO NOT

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NECESSARILY AGREE. WE FILED SUIT ARGUING THAT THIS VIOLATES THE FIRST  
AMENDMENT FREEDOMS OF SPEECH AND OF ASSOCIATION. THE CASE IS STILL  
PENDING. NO FEES HAVE BEEN AWARDED.

#### SCHIRES V. CITY OF PEORIA

THE CITY OF PEORIA PROVIDED A SUBSIDY TO A PRIVATE UNIVERSITY TO MERELY  
LOCATE ITS OPERATIONS WITHIN THE CITY. ARIZONA'S CONSTITUTION PROHIBITS  
TAXPAYER SUBSIDIES TO PRIVATE COMPANIES WITHOUT ADEQUATE BENEFITS OR  
ASSURANCES FOR TAXPAYERS IN RETURN. THE COURT OF APPEALS HELD, THAT  
LOCAL GOVERNMENTS CAN PAY COMPANIES TO LOCATE IN A CITY AS A MEANS OF  
IMPROVING THE LOCAL ECONOMY. WE APPEALED, AND THE ARIZONA SUPREME COURT  
RULED IN OUR CLIENTS' FAVOR AND GRANTED OUR REQUEST FOR ATTORNEY FEES.  
THE FEES AWARD WAS SETTLED FOR APPROXIMATELY \$200,000.

#### SVEDIN V. ARIZONA DEPARTMENT OF EDUCATION

WE REPRESENTED A FAMILY WHICH HAD BEEN WRONGLY ORDERED TO PAY THE  
ARIZONA DEPARTMENT OF EDUCATION FOR "MISSPENDING" FUNDS UNDER THE  
EMPOWERMENT SCHOLARSHIP ACCOUNT PROGRAM. AFTER NEGOTIATIONS WITH THE  
DEPARTMENT, A MUTUAL SETTLEMENT WAS ARRANGED. THE DISPUTE WAS NEVER  
FILED IN COURT.

#### VANGILDER V. PINAL COUNTY

IN NOVEMBER 2017, PINAL COUNTY ADOPTED A NEW "TRANSPORTATION EXCISE  
TAX" TO PAY FOR ROAD IMPROVEMENTS. BUT THE TAX APPLIED ONLY TO RETAIL  
SALES OF ITEMS BELOW \$10,000. ARIZONA LAW SPECIFIES WHAT MUST BE TAXED  
AND IN WHAT AMOUNTS WHEN A COUNTY CREATES A TRANSPORTATION EXCISE TAX;  
THUS THIS TAX IS UNLAWFUL. THE COUNTY LATER ASKED STATE TAX OFFICIALS  
TO FOLLOW, NOT THE LANGUAGE IN THE ACTUAL BALLOT, BUT THE LANGUAGE IN

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THE BALLOT PAMPHLET, WHICH APPLIED THE TAX TO THINGS OTHER THAN RETAIL

SALES (ALTHOUGH IT STILL INCLUDED THE \$10,000 CARVE OUT). WE FILED SUIT

CHALLENGING THE LEGALITY OF THE TAX ON THESE GROUNDS AND ALSO ON THE

GROUNDS THAT THE TAX VIOLATES THE STATE CONSTITUTION'S REQUIREMENT OF

UNIFORMITY AND ITS PROHIBITION ON SPECIAL LAWS. THE CASE IS STILL

PENDING IN THE ARIZONA SUPREME COURT. NO FEES HAVE BEEN AWARDED.

WALTON V. ARIZONA DEPARTMENT OF EDUCATION

WE ALSO REPRESENTED THIS FAMILY WHICH HAD BEEN WRONGLY ORDERED TO PAY

THE ARIZONA DEPARTMENT OF EDUCATION FOR "MISSPENDING" FUNDS UNDER THE

EMPOWERMENT SCHOLARSHIP ACCOUNT PROGRAM. AFTER NEGOTIATIONS WITH THE

DEPARTMENT, A MUTUAL SETTLEMENT WAS ARRANGED. THE DISPUTE WAS NEVER

FILED IN COURT.

FORM 990, PART VI, SECTION A, LINE 2:

PRESIDENT/CEO, VICTOR RICHES AND DIRECTOR OF NATIONAL LITIGATION AND

GENERAL COUNSEL, JONATHAN RICHES HAVE A FAMILY RELATIONSHIP. KEY EMPLOYEE,

TIMOTHY SANDEFUR AND EXECUTIVE VICE PRESIDENT, CHRISTINA SANDEFUR HAVE A

FAMILY RELATIONSHIP.

FORM 990, PART VI, SECTION A, LINE 6:

THE MEMBERS OF THE BOARD OF DIRECTORS ARE ALSO MEMBERS OF THE CORPORATION.

FORM 990, PART VI, SECTION A, LINE 7A:

NEW DIRECTORS ARE ELECTED BY THE REMAINING BOARD OF DIRECTORS.

FORM 990, PART VI, SECTION B, LINE 11B:

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AN OUTSIDE ACCOUNTING FIRM PREPARES THE FORM 990 AND IT IS REVIEWED BY THE  
CEO, CFO, EXECUTIVE VICE PRESIDENT, EXECUTIVE COMMITTEE, AND GENERAL  
COUNSEL PRIOR TO SUBMISSION TO THE BOARD OF DIRECTORS FOR REVIEW. THE  
MANAGEMENT TEAM ADDRESSES ANY ISSUES RAISED BY THE BOARD BEFORE THE RETURN  
IS FILED WITH THE IRS.

FORM 990, PART VI, SECTION B, LINE 12C:

IN CONNECTION WITH ANY ACTUAL OR POSSIBLE CONFLICT OF INTEREST, AN  
INTERESTED PERSON MUST DISCLOSE THE EXISTENCE OF THE FINANCIAL INTEREST AND  
BE GIVEN THE OPPORTUNITY TO DISCLOSE ALL MATERIAL FACTS TO THE DIRECTORS  
AND MEMBERS OF COMMITTEES WITH GOVERNING BOARD DELEGATED POWERS CONSIDERING  
THE PROPOSED TRANSACTION OR ARRANGEMENT. ANY DIRECTOR, PRINCIPAL OFFICER,  
OR MEMBER OF A COMMITTEE WITH GOVERNING BOARD DELEGATED POWERS, WHO HAS A  
DIRECT OR INDIRECT FINANCIAL INTEREST IS AN INTERESTED PERSON. AFTER  
DISCLOSURE OF THE FINANCIAL INTEREST AND ALL MATERIAL FACTS, AND AFTER ANY  
DISCUSSION WITH THE INTERESTED PERSON, HE/SHE SHALL LEAVE THE GOVERNING  
BOARD OR COMMITTEE MEETING WHILE THE DETERMINATION OF THE CONFLICT OF  
INTEREST IS DISCUSSED AND VOTED UPON. THE REMAINING BOARD OR COMMITTEE  
MEMBERS SHALL DISCUSS IF A CONFLICT OF INTEREST EXISTS.

THE ORGANIZATION'S CONFLICT OF INTEREST POLICY REQUIRES ANNUAL DISCLOSURE  
FROM ALL MEMBERS OF THE BOARD OF DIRECTORS AND OFFICERS. A STATEMENT IS  
FILED BY EACH BOARD MEMBER REQUIRING THE DISCLOSURE OF ANY CONFLICTS AND TO  
STATE THE RESOLUTION OF THAT CONFLICT, IF ANY.

FORM 990, PART VI, SECTION B, LINE 15:

THE EXECUTIVE COMMITTEE REVIEWED COMPENSATION FOR OFFICERS AND KEY  
EMPLOYEES BASED ON A REVIEW OF SIMILAR ORGANIZATIONS (USING FORM 990). ALL  
COMPENSATION DECISIONS ARE DOCUMENTED IN THE MINUTES.

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FORM 990, PART VI, LINE 17, LIST OF STATES RECEIVING COPY OF FORM 990:

AL, AK, AR, CA, CO, CT, FL, GA, HI, IL, KS, KY, MD, MA, MI, MN, MS, MT, NH, NJ, NM, NY, NC, OH, OK

OR, PA, RI, SC, TN, UT, VT, VA, WV, WI

FORM 990, PART VI, SECTION C, LINE 19:

THE AUDITED FINANCIAL STATEMENTS ARE AVAILABLE ON THE INSTITUTE'S WEBSITE.

THE ORGANIZATION'S ARTICLES OF INCORPORATION, BY-LAWS, AND CONFLICT OF

INTEREST POLICY ARE AVAILABLE UPON REQUEST.







**Part V Transactions With Related Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

**a** Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity

**b** Gift, grant, or capital contribution to related organization(s)

**c** Gift, grant, or capital contribution from related organization(s)

**d** Loans or loan guarantees to or for related organization(s)

**e** Loans or loan guarantees by related organization(s)

**f** Dividends from related organization(s)

**g** Sale of assets to related organization(s)

**h** Purchase of assets from related organization(s)

**i** Exchange of assets with related organization(s)

**j** Lease of facilities, equipment, or other assets to related organization(s)

**k** Lease of facilities, equipment, or other assets from related organization(s)

**l** Performance of services or membership or fundraising solicitations for related organization(s)

**m** Performance of services or membership or fundraising solicitations by related organization(s)

**n** Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)

**o** Sharing of paid employees with related organization(s)

**p** Reimbursement paid to related organization(s) for expenses

**q** Reimbursement paid by related organization(s) for expenses

**r** Other transfer of cash or property to related organization(s)

**s** Other transfer of cash or property from related organization(s)

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

		(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					

**Part VI** **Unrelated Organizations Taxable as a Partnership.** Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue)

[illegible]

## Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R. See instructions.